

DOCKET NO: 275734US6PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
TOSHIHIRO MORITA, ET AL. : EXAMINER: POND, ROBERT M.
SERIAL NO: 09/913,577 :
FILED: JANUARY 25, 2002 : GROUP ART UNIT: 3625
FOR: METHOD AND APPARATUS FOR :
INFORMATION PROCESSING, AND
MEDIUM FOR STORING PROGRAM

PETITION UNDER 37 C.F.R. §1.181

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant respectfully petition in accordance with 37 C.F.R. §1.181(a)(3) for consideration by the Examiner of the Information Disclosure Statement filed August 15, 2001.

MPEP §609.04(a)(III) states “[w]here the information listed is not in the English language, but was cited in a search report or other action by a foreign patent office in a counterpart foreign application, the requirement for a concise explanation of relevance can be satisfied by submitting an English-language version of the search report or action which indicates the degree of relevance found by the foreign office. This may be an explanation of which portion of the reference is particularly relevant, to which claims it applies, or merely an "X", "Y", or "A" indication on a search report.”

Application No. 09/913,577
Petition Under 37 C.F.R. §1.181
Date Allowed: October 9, 2007

Accordingly, attached is a copy of the IDS, and a copy of the PTO-1449 form filed with the IDS, as well as a copy of the date-stamped filing receipt evidencing the filing on August 15, 2001.

37 C.F.R. §1.181(a)(3) allows petition to invoke the supervisory authority of the Commissioner in appropriate circumstance. Accordingly, it is respectfully submitted that this Petition under 37 C.F.R. §1.181 be granted and that the Examiner consider the Information Disclosure Statement filed on August 15, 2001.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Bradley D. Lytle
Attorney of Record
Registration No. 40,073

Customer Number

22850

Tel: (703) 413-3000
Fax: (703) 413 -2220
(OSMMN 06/04)

James Love
Registration No. 58,421

518 R PCT/PTO 09/913577
15 AUG 2001

Sheet 1 of 1

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 450101-02901		SERIAL NO. To be assigned	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT MORITA et al.			
				FILING DATE Filed Concurrently		GROUP	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	WG 99/54870	10/28/99	WIPO				X
	AL	11-306037	11/05/99	Japan				X
	AM	EP 0 467 208 B1	09/30/96	Europe				X
	AN	4-271396	09/28/92	Japan				X
	AO							
	AP							
	AQ							
	AR							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AS		"Kogata Memory Card de Ongaku Chosakuken wo mamoru," Nikkei Electronics, vol 739, March 22, 1999, pp 49-53.
	AT		"Ongaku Hashin netai nishi," Nikkei Electronics, vol 738, March 08, 1999, pp 87-111.
	AU		

EXAMINER 	DATE CONSIDERED 12/14/05
--------------	-----------------------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY

Express Mail 742691663 US mailed August 15, 2001

JC20 Rec'd PCT/PTO 15 AUG 2001

Serial No. To be Assigned File No. 450101-02901 By USF/4RP
Title In the Matter of the Application of Information Processing Apparatus & Methods
The following due 8/17/01 in the U.S. Patent Office, was received in the Patent Office Program Storage medium
☐ Affidavit ☐ Declaration ☒ Express Mail Mailing Certificate
☐ Amendment ☒ (separate sheet)
☐ Preliminary Amendment ☒ Check No. 16941 for \$ 1,100⁰⁰
☐ Amendment After Final Rejection ☐ Deposit Account Order Form
☐ Request for Extension of Time ☒ Drawing 54 Sheet(s)
☐ Provisional Patent Application ☒ Information Disclosure Statement
☒ Application for Patent, including ☒ PTO Form 1449, Rehearsal
☒ 20 Pages Specification 20 Claims 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200
☐ Declaration ☐ Oath ☐ Power
☐ Request for Filing Continuation or Divisional Application sheets, in duplicate
☐ File Wrapper Continuation Patent Application sheets, in duplicate
☐ PCT Request sheets, including
☒ Transmittal Letter to the US/RO
☐ Assignment ☐ Recordation Cover Sheet
☒ Brief ☐ Letter
☐ Application for TM Registration Including Specimens
☐ Status Request ☐ Notice of Appeal
☐ Petition ☐ Response
☐ Priority Document
☐ Small Entity Declaration
☒ PCT/RO/101, PCT/ISA/210
PCT/TB/301, 304, 308

09/913577

COPY

518 Rec'd PCT/PTO 15 AUG. 2001

PATENT
450101-02901
09/913577
6/7/02
PH
#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : MORITA et al.
Int'l Appln. No. : PCT/JP00/08914
Int'l Filing Date : December 15, 2000
Title of Invention : INFORMATION PROCESSING APPARATUS
AND METHOD, AND PROGRAM STORAGE
MEDIUM

745 Fifth Avenue
New York, NY 10151

EXPRESS MAIL

Mailing Label Number: EL 742691663 US

Date of Deposit: August 15, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents Washington, DC 20231.

Edward Nay

(Typed or printed name of person mailing paper or fee)

[Signature]

(Signature of person mailing paper or fee)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed are copies of the publications, which were cited in the International Search Report of International Application No. PCT/JP00/08914. Also enclosed is a copy of Form PTO-1449 and the International Search Report.

COPY

518 Rec'd PCT/PTO 15 AUG 2001
PAT 09/913577
450101-02901

REMARKS

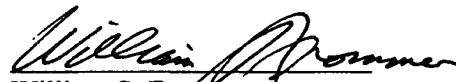
Entry of this Information Disclosure Statement and an early examination on the merits are respectfully solicited.

Please charge any additional fees to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By:



William S. Frommer

Reg. No. 25,506

(212) 588-0800

WSF/yrp
Enclosures

09/913577
518 R PCT/PTO 15 AUG 2004

Sheet 1 of 1

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 450101-02901		SERIAL NO. To be assigned		
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT MORITA et al.				
				FILING DATE Filed Concurrently		GROUP		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	WO 99/54870	10/28/99	WIPO				
	AL	11-306057	11/05/99	Japan				
	AM	EP 0 467 208 B1	09/20/95	Europe				
	AN	4-271396	09/28/92	Japan				
	AO							
	AP							
	AQ							
	AR							
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AS		"Kogata Memory Card de Ongaku Chosakuken wo mamoru," Nikkei Electronics, vol 739, March 22, 1999, pp 49-53.					
	AT		"Ongaku Haishin matta nashi," Nikkei Electronics, vol 738, March 08, 1999, pp 87-111.					
	AU							
EXAMINER					DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

COPY

INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP00/08914

A. CLASSIFICATION OF SUBJECT MATTER
Int.Cl⁷ G10K15/02, G06F12/00, 12/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Int.Cl⁷ G10K15/02, G10L19/00, G06F12/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
Jitsuyo Shinan Koho 1922-1996 Toroku Jitsuyo Shinan Koho 1994-2001
Kokai Jitsuyo Shinan Koho 1971-2001 Jitsuyo Shinan Toroku Koho 1996-2001

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
JICST FILE (JOIS), INSPEC (DIALOG), WPI (DIALOG),
IEEE Electronic Library Online

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	"Kogata Memory Card de Ongaku Chosakuken wo mamoru," Nikkei Electronics, Vol.739, 22 March, 1999 (22.03.99), pp.49-53	1-20
A	"Ongaku Haishin matta nashi," Nikkei Electronics, Vol.738, 08 March, 1999 (08.03.99), pp.87-111	1-20
A	WO, 99/54870, A1 (Sony Corporation), 28 October, 1999 (28.10.99), Full text, all drawings & JP, 11-306057, A	1-20
A	EP, 467208, B1 (Hitachi Ltd), 20 September, 1995 (20.09.95), Full text, all drawings & JP, 4-271396, A & EP, 658863, A2	1-20

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:
"A" document defining the general state of the art which is not
considered to be of particular relevance
"E" earlier document but published on or after the international filing
date
"L" document which may throw doubts on priority claim(s) or which is
cited to establish the publication date of another citation or other
special reason (as specified)
"O" document referring to an oral disclosure, use, exhibition or other
means
"P" document published prior to the international filing date but later
than the priority date claimed

"T" later document published after the international filing date or
priority date and not in conflict with the application but cited to
understand the principle or theory underlying the invention
"X" document of particular relevance; the claimed invention cannot be
considered novel or cannot be considered to involve an inventive
step when the document is taken alone
"Y" document of particular relevance; the claimed invention cannot be
considered to involve an inventive step when the document is
combined with one or more other such documents, such
combination being obvious to a person skilled in the art
"&" document member of the same patent family

Date of the actual completion of the international search
19 February, 2001 (19.02.01)

Date of mailing of the international search report
27 February, 2001 (27.02.01)

Name and mailing address of the ISA/
Japanese Patent Office

Authorized officer

Facsimile No.

Telephone No.

COPY